



14202 U.S. PTO

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PTO/SB/05 (08-00)

331088 U.S. PTO  
10/754427

010904

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket No.</b>	H014466.0047US0
	<b>First Named Inventor or Application identifier</b>	James B. Sullivan
<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450	<b>Title</b>	METHOD AND SYSTEM FOR PERFORMING A RETAIL TRANSACTION USING A WIRELESS DEVICE
	<b>Express Mail No.</b>	EV330686869US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17(*submit in duplicate*)
2. ☐ Applicant claims small entity status. *See 37 CFR 1.27.*
3. ☒ Specification [Total Pages: 25]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 7]
5. ☒ Oath or Declaration [Total Pages: 2]
  - a. ☒ Newly executed (original or copy).
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(*for continuation/divisional with No. 17 completed*)  
[**Note No. 6 below**]
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (*useable if No. 5b is  
checked*)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under No. 5b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)
  - a. ☐ Computer Readable Copy (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
8. ☐ Application Data Sheet. *See 37 CFR 1.76*

9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (*Appendix*)

**ACCOMPANYING APPLICATION PARTS**

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (*when there is an assignee*)
12. ☒ Power of Attorney
13. ☐ English Translation Document (*if applicable*)
14. ☐ Information Disclosure  
Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (*Itemized*)
17. ☐ Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)
18. ☐ Other: \_\_\_\_\_

19. ☐ If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_/\_\_\_\_\_

Prior Application Information:

Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

<b>20. Correspondence Address</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label _____ or _____ Correspondence address below 01200 (Insert Customer No. or Attach bar code label here)					
<b>Name</b>	Attn: Richard A. Schafer AKIN GUMP STRAUSS HAUER & FELD, LLP				
<b>Address</b>	711 Louisiana Street, 1900 Pennzoil Place – South Tower				
<b>City</b>	Houston	<b>State</b>	Texas	<b>Zip Code</b>	77002
<b>Country</b>	U.S.A.	<b>Telephone</b>	(713) 220-5800	<b>Fax</b>	(713) 236-0822

Date: \_\_\_\_\_

1/9/2004

  
Richard A. Schafer, Reg. No. 45078

<b>FEE TRANSMITTAL for FY 2004</b>  <i>Patent fees are subject to annual revision</i>		<b>Complete if Known</b>	
		<i>Application Number</i>	Unknown
		<i>Filing Date</i>	December 9, 2003
		<i>First Named Inventor</i>	James B. Sullivan
		<i>Examiner Name</i>	Unknown
		<i>Group / Art Unit</i>	Unknown
<b>Total Amount of Payment</b>	<b>\$ 1,432.00</b>	<i>Attorney Docket No.</i>	H014466.0047US0

**METHOD OF PAYMENT (check one)**

1. X The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account No.: 16-2435  
Deposit Account Name: Akin Gump Strauss Hauer & Feld, LLP

X Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17

     Applicant claims small entity status.  
See 37 CFR 1.27

2. X Payment Enclosed:      X Check  
   — Money Order  
   — Credit Card  
   Other \_\_\_\_\_

### FEE CALCULATION

### 1. Basic Filing Fee

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	\$770	2001	\$385	Utility Filing Fee	\$ 770
1002	\$340	2002	\$170	Design Filing Fee	\$
1003	\$530	2003	\$265	Plant Filing Fee	\$
1004	\$770	2004	\$385	Reissue Filing Fee	\$
1005	\$160	2005	\$ 80	Provisional Filing Fee	\$
				<b>Subtotal (1)</b>	<b>\$770</b>

## 2. Extra Claim Fees

Claims	Extra	Fee (below)	Fee Paid
Total 45 -20** =	25 x	\$ 18	= \$ 450
Indep. 5 - 3** =	2 x	\$ 86	= \$ 172
Multiple Dependent			\$

\*\*or number previously paid, if greater.

\*For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
\$ 18	\$ 9	Claims in excess of 20
\$ 86	\$ 43	Independent claims in excess of 3
\$ 290	\$ 145	Multiple dependent claim, if not paid
\$ 86	\$ 43	**Reissue independent claims over original patent
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent
		<b>Subtotal (2)</b>
		\$ 622

**FEE CALCULATION (continued)**

### 3. Additional Fees

Large Fee Code	Large Entity	Small Fee Code	Small Entity	Fee Description	Fee Paid
1051	\$ 130	2051	\$ 65	Surcharge - late fee or oath	\$
1052	\$ 50	2052	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
1812	\$2,520	1812	\$2,520	Request for Reexamination	\$
1804	\$920*	1804	\$920*	Requesting publication of SIR prior to Examiner action	\$
1805	\$1840*	1805	\$1840*	Requesting publication of SIR after Examiner action	\$
1251	\$ 110	2251	\$ 55	Extension for reply within first month	\$
1252	\$ 420	2252	\$ 210	Extension for reply within second month	\$
1253	\$ 950	2253	\$ 475	Extension for reply within third month	\$
1254	\$1,480	2254	\$ 740	Extension for reply within fourth month	\$
1255	\$2,010	2255	\$1,050	Extension for reply within fifth month	\$
1401	\$ 330	2401	\$ 165	Notice of Appeal	\$
1402	\$ 330	2402	\$ 165	Filing a brief in support of an appeal	\$
1403	\$ 290	2403	\$ 145	Request for oral hearing	\$
1452	\$ 110	2452	\$ 55	Petition to revive - unavoidable	\$
1453	\$1,330	2453	\$ 665	Petition to revive - unintentional	\$
1501	\$1,330	2501	\$ 665	Utility issue fee (or reissue)	\$
1502	\$ 480	2502	\$ 240	Design issue fee	\$
1503	\$ 640	2503	\$ 320	Plant issue fee	\$
1460	\$ 130	1460	\$ 130	Petitions to the Commissioner	\$
1807	\$ 50	1807	\$ 50	Petitions related to provisional applications	\$
1806	\$ 180	1806	\$ 180	Submission of Information Disclosure Statement	\$
8021	\$ 40	8021	\$ 40	Recording each patent assignment per property (times number of properties)	\$ 40
1809	\$ 770	2809	\$ 385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
1801	\$ 770	2801	\$ 385	Request for Continued Examination (RCE)	\$
1802	\$ 900	1802	\$ 900	Request for expedited examination of a design application	\$

Other fee (specify): \_\_\_\_\_ \$

Other fee (specify): \_\_\_\_\_ \$

\* Reduced by Basic Filing Fee Paid

<b>Subtotal (3)</b>	<b>\$ 40</b>
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Submitted by

  
Richard A. Schafer, Reg. No. 45,078

Richard A. Schafer, Reg. No. 45,078

Date: 1/9/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant:	§	Confirmation No.:
	§	
JAMES B. SULLIVAN	§	
KEVIN JENNINGS	§	
DOUG HALL	§	
JEFFREY STAMP	§	
	§	
Filed: Unknown	§	Art Unit:
	§	
Serial No.: Unknown	§	Examiner:
	§	
For: METHOD AND SYSTEM FOR	§	Docket No.: H014466.0047US0
PERFORMING A RETAIL	§	
TRANSACTION USING A	§	
WIRELESS DEVICE	§	Customer No.: 01200

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 C.F.R. § 1.10 with the United States Postal Service ("Express Mail Post Office to Addressee") service as Express Mail No. EV330686869US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

- (1) Utility Patent Application Transmittal;
- (2) Fee Transmittal (in duplicate);
- (3) Patent Application (Specification (25 pages), Drawings (7 sheets));
- (4) Firm Check for \$1,432.00;
- (5) Declaration (2 pages);
- (6) Power of Attorney by Assignee(2 pages);
- (7) Assignment w/ Recordation Cover Sheet; and
- (8) Return postcard

Respectfully submitted,

1/9/2004  
Date

Holly Tuynman  
Name: Holly Tuynman

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.  
1900 Pennzoil Place, South Tower  
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